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Practitioner's Docket No. 2003DE133

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Martin Alexander Winter, et al.

Application No.: 10/576,594 ✓
Filed: April 21, 2006
For: Water-Based Pigment Preparations

Group No.:

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO/SB/08A.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☐ PCT Search Report
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2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,



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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/576,594		
Filing Date	April 21, 2006		
First Named Inventor	Winter et al.		
Art Unit			
Examiner Name			
Attorney Docket Number	2003DE133		
Sheet	1	of	2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	20060229382	10/12/2006	Schweikart et al.	
	AB	20060247347	11/2/2006	Glos et al.	
	AC	20040233262	11/25/2004	Randler et al.	
	AD	7,135,067	11/14/2006	Hart et al.	
	AE	7,008,475	3/7/2006	Randler et al.	
	AF	6,569,231	5/27/2003	Mathias et al.	
	AG	4,403,077	9/6/1983	Uhrig et al.	
	AH	2,802,715	8/13/1957	Hofer et al.	
	AI	6,077,339	6/20/2000	Nyssen et al.	
	AJ	20040171738	9/2/2004	Harz et al.	
	AK	5,420,315	5/30/1995	Uhrig et al.	
	AL				
	AM				
	AN				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	BA	DE 10133641	1/30/2003	Germany	See AD above	<input type="checkbox"/>
	BB	EP 0065751	12/1/1982	EPO	See AG above	<input type="checkbox"/>
	BC	WO 02/095130	11/22/2002	WIPO	English	<input type="checkbox"/>
	BD	EP 1165696	1/2/2002	EPO	English	<input type="checkbox"/>
	BE	DE 19731572	1/28/1999	Germany	See Abstract	<input type="checkbox"/>
	BF	CH 324665	10/15/1957	Switzerland	See AH above	<input type="checkbox"/>
	BG	CH 283986	6/30/1952	Switzerland	English Abstract	<input type="checkbox"/>
	BH	DE 19712486	10/1/1998	Germany	See AI above	<input type="checkbox"/>
	BI	DE 10133641	1/30/2003	Germany	See AD above	<input type="checkbox"/>
	BJ	DE 10135140	1/30/2003	Germany	See AJ above	<input type="checkbox"/>
	BK	EP 0582928	2/16/1994	EPO	See AK above	<input type="checkbox"/>

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				Application Number	10/576,594
				Filing Date	April 21, 2006
				First Named Inventor	Winter et al.
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2003DE133

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	PCT Search Report for PCT/EP2004/011852, mailed 4/25/2005	<input type="checkbox"/>
	CB	English Translation of International Preliminary Report on Patentability for PCT/EP2004/011852, mailed 8/3/2006.	<input type="checkbox"/>
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